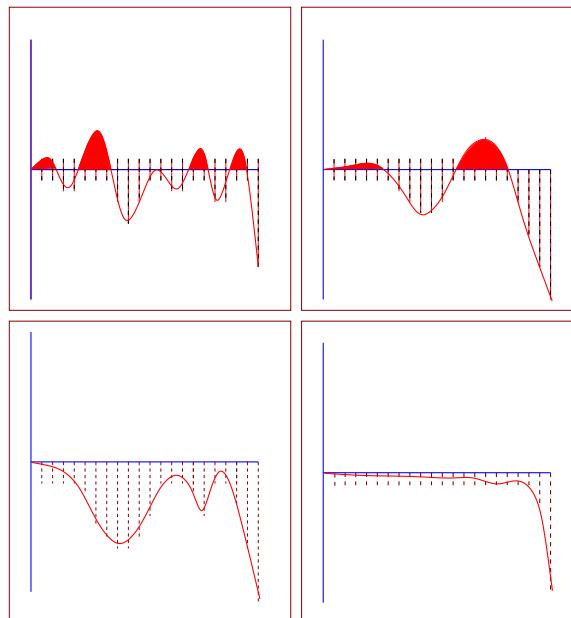


# Design of Erasure Codes with Differential Evolution

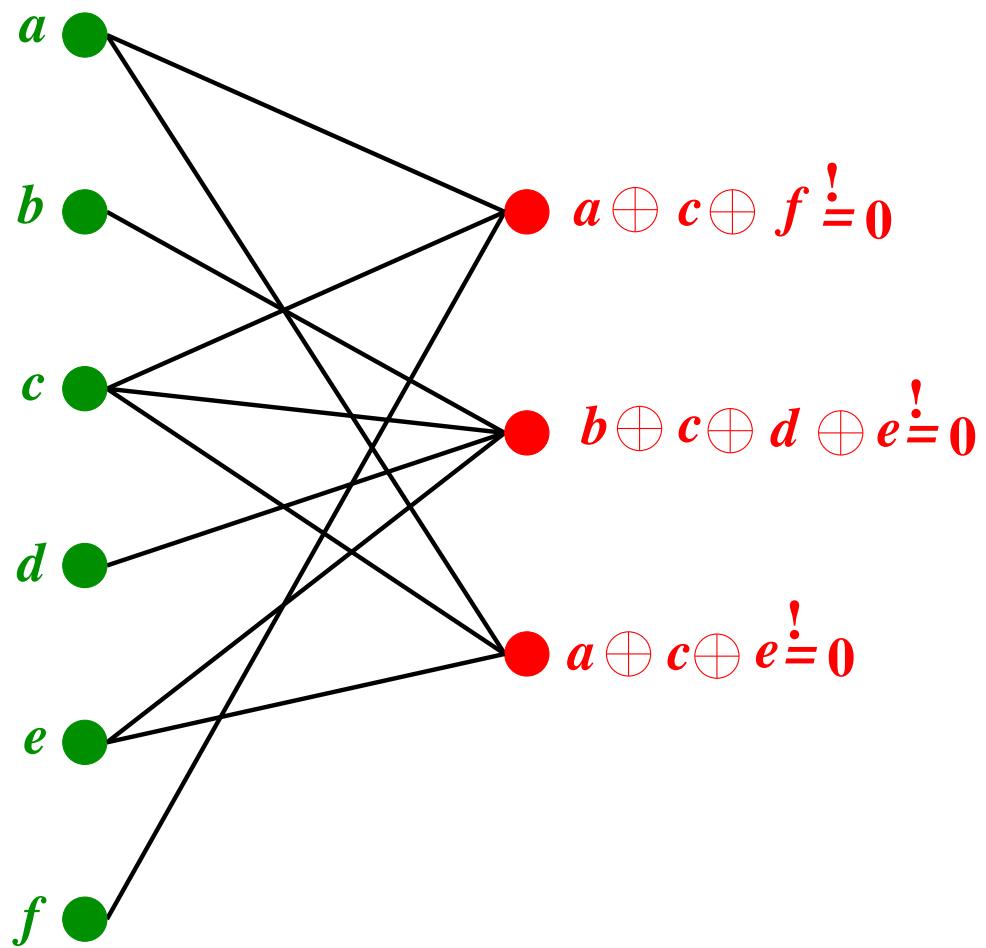


M. Amin Shokrollahi  
Bell Laboratories

Joint work with Rainer Storn

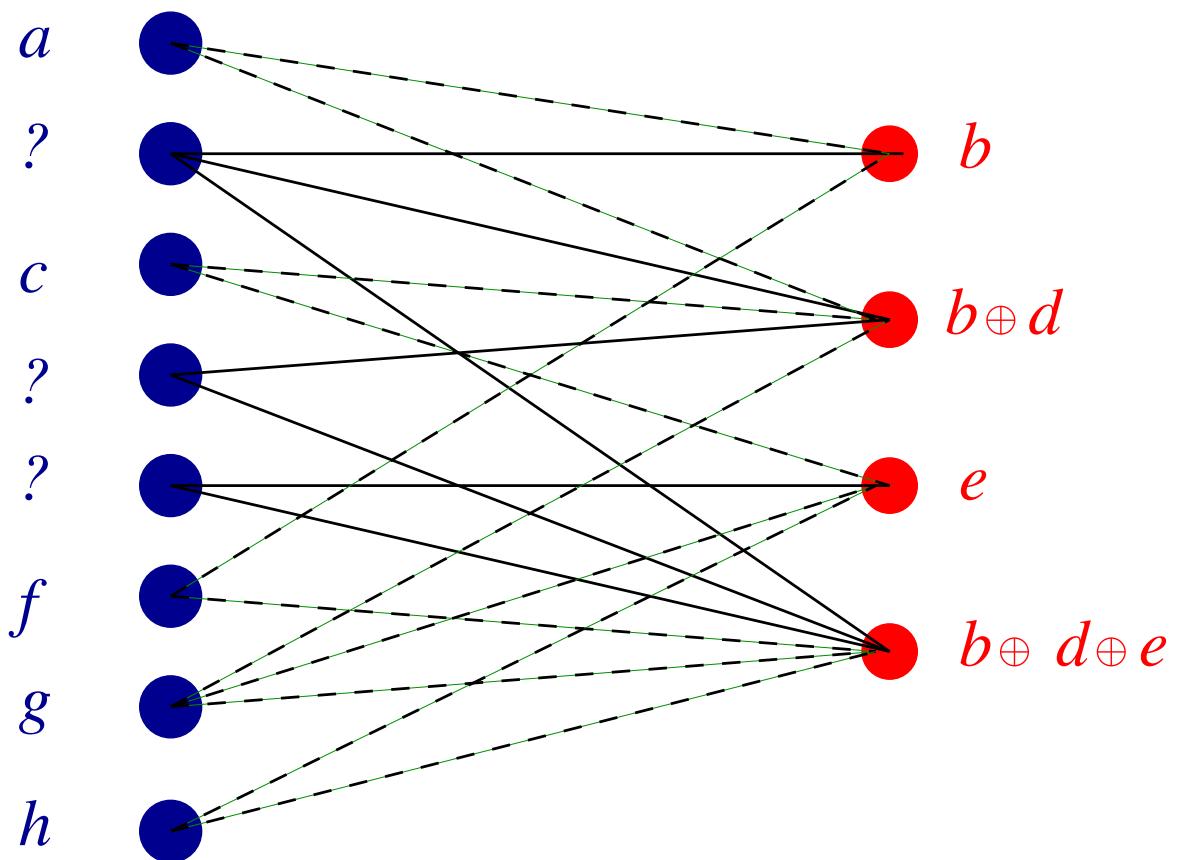


# Low-Density Codes



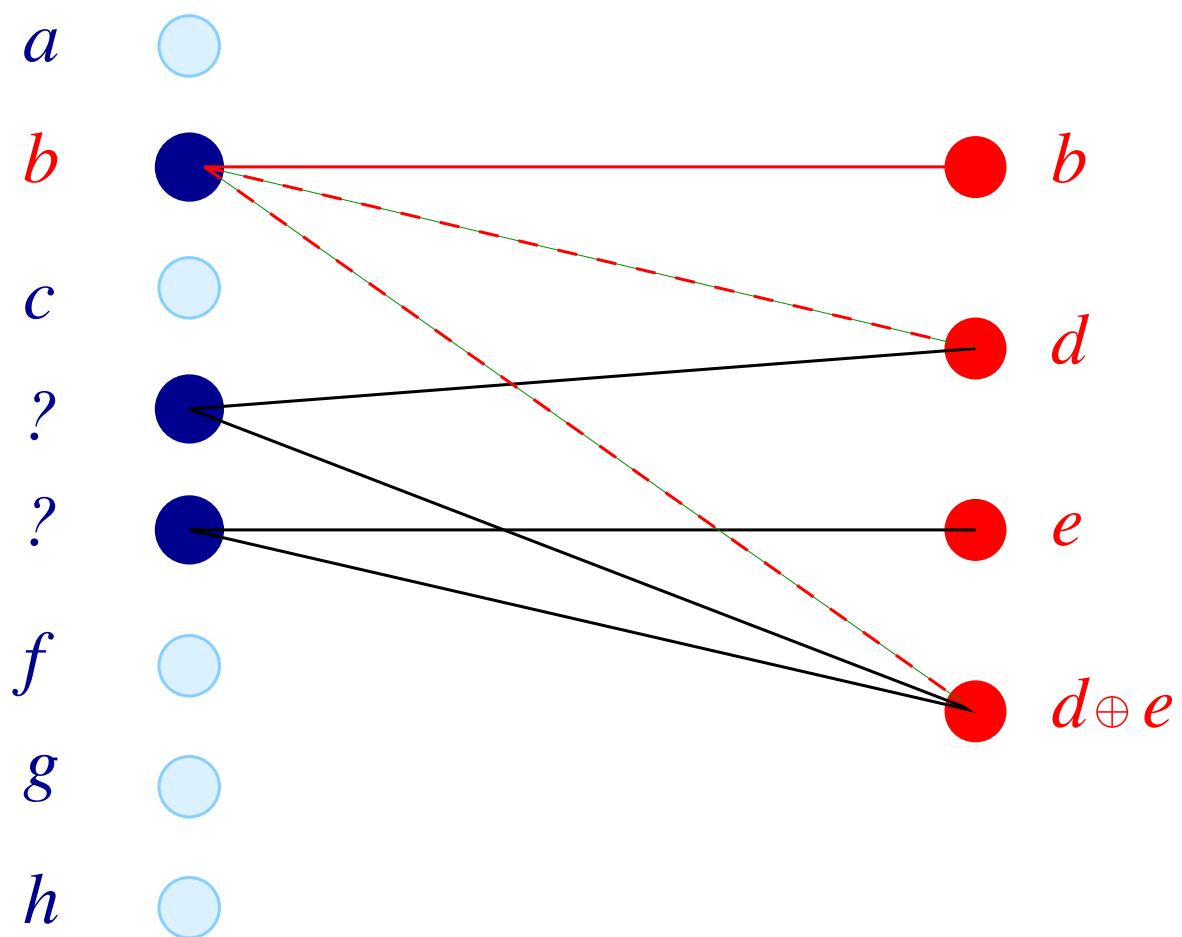
# Decoding on the Erasure Channel

Stage 1: Direct Recovery



# Decoding on the Erasure Channel

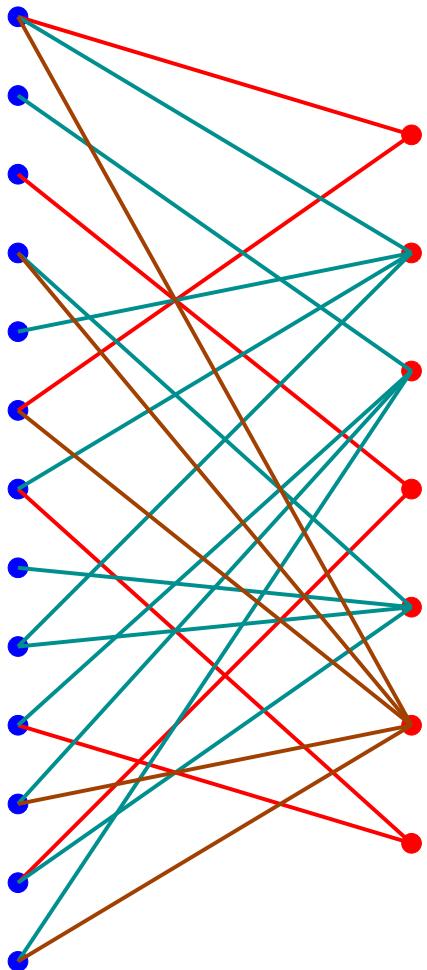
Stage 2: Substitution Recovery



# Edge Fractions

$\lambda_i$  fraction of edges of left degree  $i$ ,  $\lambda(x) = \sum_i \lambda_i x^{i-1}$ .

$\rho_i$  fraction of edges of right degree  $i$ ,  $\rho(x) = \sum_i \rho_i x^{i-1}$ .



# edges: 23

# edges right degree 2: 6

# edges right degree 4: 12

# edges right degree 5: 5

$$\rho(x) = \frac{6}{23}x + \frac{12}{23}x^3 + \frac{5}{23}x^4$$

## Successful Decoding

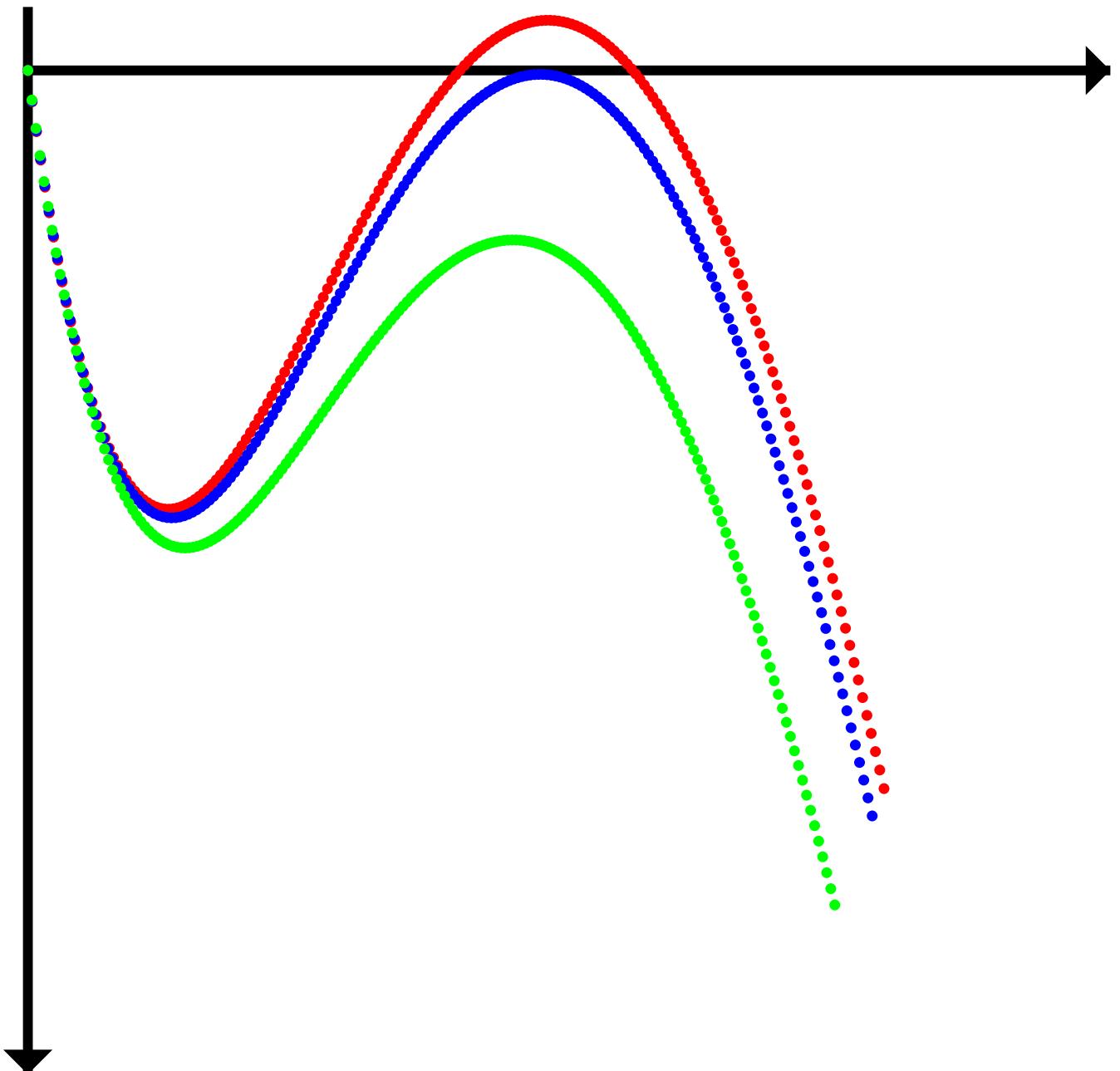
Successful Decoding possible with high probability if and only if

$$\delta\lambda(1 - \rho(1 - x)) < x$$

for all  $x \in (0, \delta)$ .

$\delta$  is original fraction or erasures.

(Luby, Mitzenmacher, Shokrollahi, Spielman, Stemmann)



# Optimization Problem

Fix rate  $R$ .

Maximize  $\delta$  subject to

$$\delta \lambda(1 - \rho(1 - x)) < x$$

for  $x \in (0, \delta)$  for some  $\lambda(x)$  and  $\rho(x)$  such that

$$1 - \frac{\int_0^1 \rho(x)dx}{\int_0^1 \lambda(x)dx} = 1 - R.$$

Bounds:

$$1. \quad \delta \leq 1 - \int_0^1 \rho(x)dx / \int_0^1 \lambda(x)dx = 1 - R.$$

$$2. \quad \delta \leq \hat{\delta} \text{ where}$$

$$\hat{\delta} = (1 - R)(1 - (1 - \hat{\delta})^a), \quad a = \frac{1}{\int_0^1 \rho(x)dx}.$$

# Linear programming

Discretize the interval  $(0, 1)$ :  $x_1, x_2, \dots, x_n$ .

Fix  $\rho(x)$ .

Compute  $\lambda_2, \lambda_3, \dots, \lambda_d$  with

$$\lambda_2\rho(1 - x_i) + \dots + \lambda_d\rho(1 - x_i)^d < \frac{x_i}{\delta}$$

for  $i = 1, \dots, d$ . (Linear programming.)

Fix  $\lambda(x)$ .

Use dual condition

$$\rho(1 - \delta\lambda(1 - x)) > x$$

on  $(0, 1)$ .

Iterate!

## Results: Linear Programming

$\delta = 0.4886.$

$$\begin{aligned}\lambda(x) &= 0.11286x + 0.06153x^2 + 0.12936x^3 + \\&\quad 0.25559x^7 + 0.24226x^8 + 0.02550x^{24} + \\&\quad 0.17290x^{25}\end{aligned}$$

$$\begin{aligned}\rho(x) &= 0.17263x^5 + 0.28658x^6 + 0.14805x^{28} + \\&\quad 0.39274x^{29}\end{aligned}$$

$\hat{\delta} = 0.4998$ ,  $\delta/\hat{\delta} = 0.9775.$

$\delta = 0.4946.$

$$\begin{aligned}\lambda(x) &= 0.196050x + 0.257821x^2 + 0.191453x^8 + \\&\quad 0.046831x^9 + 0.063126x^{23} + 0.059209x^{24} + \\&\quad 0.060652x^{62} + 0.124858x^{63}\end{aligned}$$

$$\rho(x) = 0.820342x^8 + 0.177571x^9 + 0.002087x^{199}$$

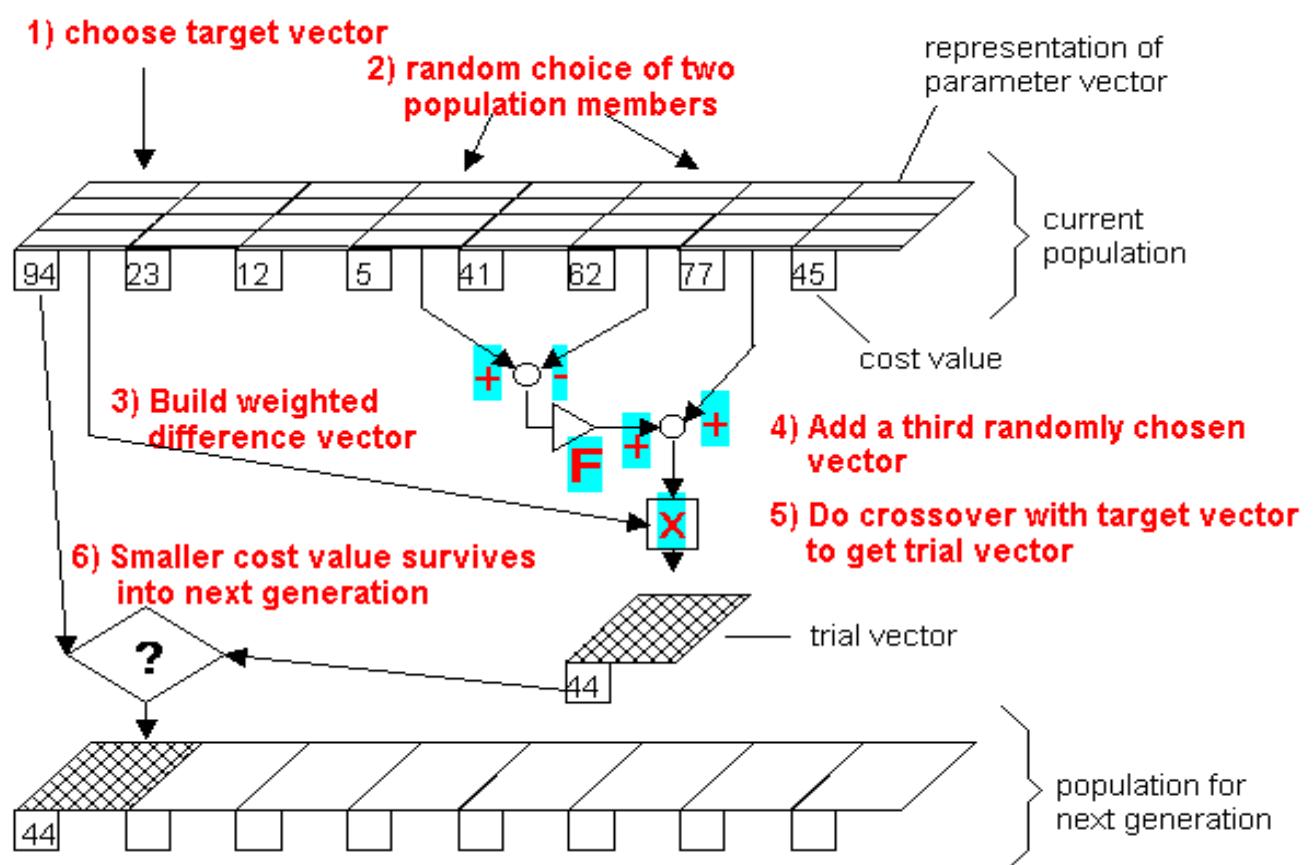
$\hat{\delta} = 0.4992$ ,  $\delta/\hat{\delta} = 0.9903.$

# Problems with Linear Programming

1. Average degrees are always fixed.
2. Sensitive to starting distribution.
3. Degrees are huge.
4. Procedure does not cover all possible degree distributions.

# Differential Evolution

- Continuous optimizer.
- Combines genetic algorithms and gradient methods.
- Extremely robust.

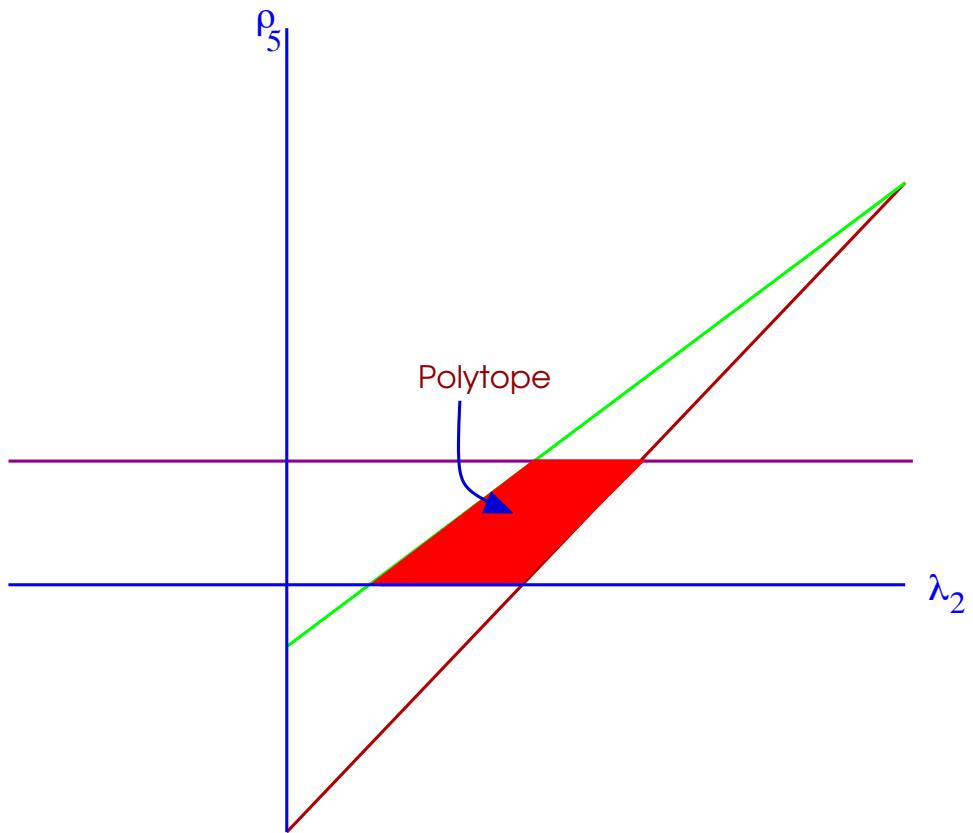


# Admissibility Polytope

$$\frac{\lambda_2}{2} + \frac{\lambda_9}{9} + \frac{\lambda_{10}}{10} = \frac{\rho_5}{5} + \frac{\rho_6}{6}$$

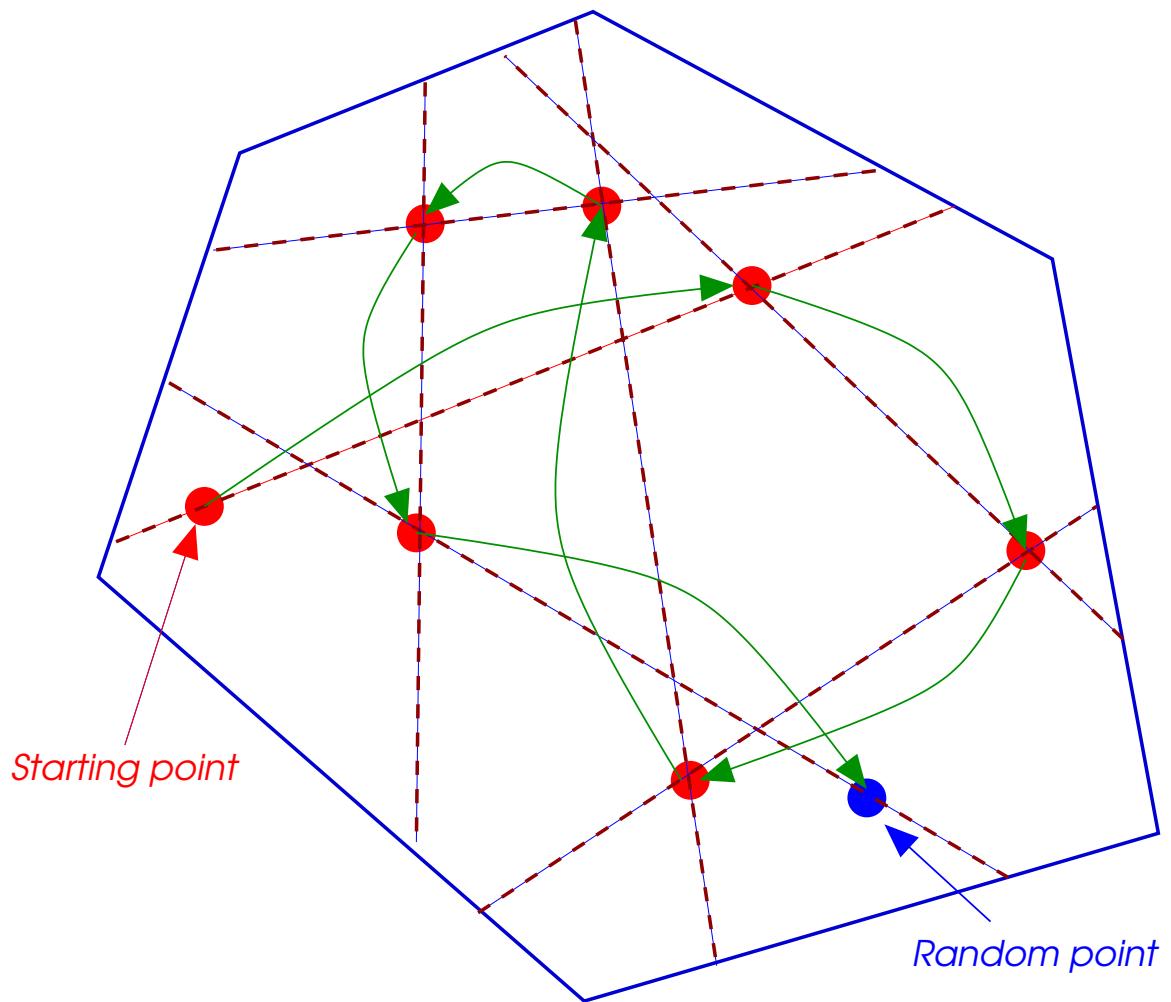
$$\begin{aligned}\lambda_2 + \lambda_9 + \lambda_{10} &= 1 \\ \rho_5 + \rho_6 &= 1\end{aligned}$$

$$\lambda_2, \lambda_9, \lambda_{10}, \rho_5, \rho_6 \geq 0.$$



# Queen's Move

Sampling points in the admissibility polytope uniformly at random (conjecturally).



## “Real” Degrees

Choice of the **degrees** occurring in  $\lambda(x)$  and  $\rho(x)$  is crucial.

Modified:

- $\tilde{\lambda}(x) = \sum_i \lambda_i x^{\alpha_i}$ ,  $\alpha_i \in \mathbf{R}$ .
- $\tilde{\rho}(x) = \sum_i \rho_i x^{\beta_i}$ ,  $\beta_i \in \mathbf{R}$ .

$$x^\alpha \mapsto ax^{[\alpha]} + bx^{[\alpha]}$$

$$\begin{aligned} \frac{a}{[\alpha]} + \frac{b}{[\alpha]} &= \frac{1}{\alpha}. \end{aligned}$$

## Results: Differential Evolution

$\delta = 0.4939.$

$$\begin{aligned}\lambda(x) &= 0.29730x + 0.17495x^2 + 0.24419x^5 + \\ &\quad 0.28353x^{19}\end{aligned}$$

$$\rho(x) = 0.33181x^6 + 0.66818x^7$$

$\hat{\delta} = 0.4974, \delta/\hat{\delta} = 0.9929.$

$\delta = 0.4955.$

$$\begin{aligned}\lambda(x) &= 0.26328x + 0.18020x^2 + 0.27000x^6 + \\ &\quad 0.28649x^{29}\end{aligned}$$

$$\rho(x) = 0.63407x^7 + 0.36593x^8$$

$\hat{\delta} = 0.4985, \delta/\hat{\delta} = 0.9941$

## Other Applications

Differential Evolution is **oblivious** to the nature of the objective function.

Can be used to design very good **low-density parity-check codes** over nontrivial channels (**AWGN** channel, **BSC**,...).

(Richardson-Shokrollahi-Urbanke.)

# Conclusion

1. Design of erasure codes requires solving highly non-linear **optimization problem**.
2. A **relaxed version** can be solved via **linear programming**.
3. Linear programming solutions require codes to have **huge lengths**.
4. **Differential evolution** can be used to solve the non-linear problem. Solutions are **excellent**.