



8/2/10

$$\phi < a \Rightarrow \frac{1}{1-R} < a \Rightarrow 1-R > \frac{1}{a} \Rightarrow R < 1 - \frac{1}{a}$$

$$g'(\cdot) - r < 0 \Rightarrow \phi >$$

### GAUSSIAN THRESHOLD

$$\textcircled{1} R \ln \left[ \frac{1}{1 + e^{-2d/(1-R)}} \right] < P - \text{Cap}(c) \ln(2)$$

$$R \left[ \ln \left( \frac{1}{1 + e^{-2d/(1-R)}} \right) \right] < P - \text{Cap}(c) \cdot \ln(2)$$

increasing fn. of R

The first condition is always satisfied, see explanation below.

$\textcircled{2}$  Matlab reveals that  $f(\lambda, \phi)$  is an increasing function of  $\phi$ , for fixed  $\lambda$ .

For  $\lambda$  fixed, we set

$$\phi'(\lambda) = \arg \max_{1 \leq \phi \leq \infty} \{ f(\lambda, \phi) - P < 0 \}$$

This gives us that  $\phi \leq \phi'(\lambda) \quad \forall \lambda \geq 0$

$$\Rightarrow \phi < \min_{\lambda \geq 0} \phi'(\lambda)$$

$$\Rightarrow R_1 > \frac{1}{\min_{\lambda \geq 0} \phi'(\lambda)}$$

$\textcircled{3}$  Replace  $f(\lambda, \phi)$  in  $\textcircled{2}$  with  $g'(\lambda, \phi)$  and  $R_1$ .

$\textcircled{4} R > \min\{R_1, R_2\}$ .

### CLOSER LOOK AT LAST PART OF AWGN SUM

$$e^{\ln b - R \ln q} = e^{\ln \left( \frac{b}{q^R} \right)} = \frac{b}{q^R} \quad \left( \text{From 3 pages before} \right)$$

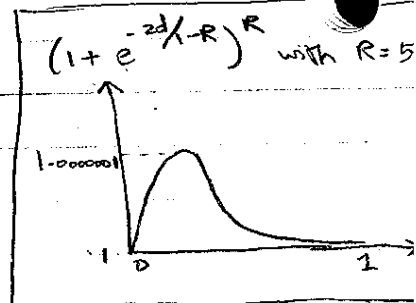
$$q = 1 + \frac{e^{-2d}}{2} = \frac{1 + e^{-2d/(1-R)}}{2}$$

$$\frac{b}{q^R} = \frac{\frac{2 \text{Cap}(c)}{2^R q^R}}{\left( \frac{1 + e^{-2d/(1-R)}}{2} \right)^R}$$

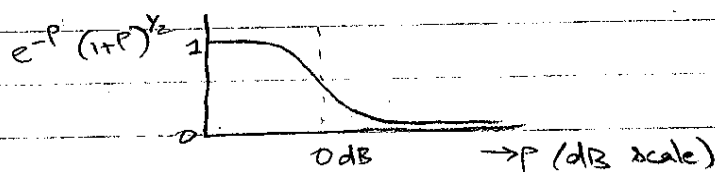
$$\frac{1}{2} \log(1 + \frac{P}{R}) = e^{-P} = (1+P)^{\frac{1}{2}} e^{-P}$$

CHECK: min-max for AWGN/BSC Run for low P, high SNR

$$\begin{aligned} e^{-P} \frac{b}{2R} &= \frac{e^{-P} 2^{\text{Cap}(C)}}{(1 + e^{-2d/(1-R)})^R} \\ &\leq e^{-P} 2^{\text{Cap}(C)} \\ &\leq e^{-P} 2^{\frac{1}{2} \log_2(1+P)} \\ &= e^{-P} (1+P)^{\frac{1}{2}} \end{aligned}$$



A matlab simul shows that this is always:



Hence the last-part of the AWGN sum converges to zero everywhere!

MIDDLE PART OF AWGN SUM, 2ND APPROACH:

(From 2 pages before)

$$g'(\lambda, \frac{1}{1-R}) = \frac{d}{a \tanh \lambda} \ln \left[ \left( \frac{d}{1-R} \right)^{\frac{1}{1-R}} \left( \frac{d}{1-R} - a \tanh \lambda \right) \right]^{\frac{a \tanh \lambda}{d}} \cdot \frac{1}{\cosh \lambda} \cdot \left( \frac{a \tanh \lambda}{e} \right)^{a \tanh \lambda} \cdot b^{\frac{1}{1-R}} \cdot \frac{1}{(a \tanh \lambda)^{a \tanh \lambda}}$$

16/02/10

AWGN CHANNEL, n < k CASE

When n < k, we should quantize, not channel-c

$$\begin{bmatrix} \underline{b}^T \\ 1 \times k \end{bmatrix} \begin{bmatrix} G \\ k \times n \end{bmatrix} = \begin{bmatrix} \underline{z}^T \\ 1 \times n \end{bmatrix} \quad (n < k)$$

$$C_p(\epsilon) \leq \frac{1}{2} \log(1+P)$$

Lets consider the case that  $G$  is full-rank (intuitively, this maximizes the mutual info.)

As  $b$  varies over all  $k$ -tuples,  $x$  varies over all  $n$ -tuples, each  $n$ -tuple appearing in the "quantizer codebook".

Hence

$$B_w = 2^{k-n} \binom{n}{w}$$

$$b^n \sum_{w=0}^n B_w e^{-Pw} = b^n 2^{k-n} \sum_{w=0}^n \binom{n}{w} (e^{-P})^w$$

$$= b^n 2^{k-n} (1 + e^{-P})^n$$

$$P_n \left\{ b^n \sum_{w=0}^n B_w e^{-Pw} \geq 2^{n\epsilon} \right\} ? \quad \left( \text{Here } b = \sqrt{\frac{P}{2}} \frac{2^{C_p(\epsilon)}}{2^R} \right)$$

$$b 2^{\frac{k}{n}-1} (1 + e^{-P}) \stackrel{?}{>} 2^\epsilon$$

$$\sqrt{\frac{P}{2}} \frac{2^{C_p(\epsilon)}}{2^R} \cdot 2^{R-1} (1 + e^{-P}) \stackrel{?}{>} 2^\epsilon$$

Suppose that  $\sqrt{\frac{P}{2}} = 2^\epsilon$

$$2^{C_p(\epsilon)} \left( \frac{1 + e^{-P}}{2} \right) \stackrel{?}{>} 1$$

$$1 + e^{-P} \stackrel{?}{>} \frac{2}{2^{C_p(\epsilon)}} \Leftrightarrow e^P \stackrel{?}{<} \frac{1}{2^{1-C_p(\epsilon)}}$$

$$P \stackrel{?}{<} \ln \left[ \frac{1}{2^{1-C_p(\epsilon)} - 1} \right]$$

Matlab shows that the above never happens  $\Rightarrow$  the AWGN,  $R > 1$  always achieves capacity.

$$\frac{1}{1-R} > - \quad \frac{1-R}{R} <$$

$$\phi \ln b + \frac{\lambda \tanh \lambda}{d} \ln \left( \frac{ed\phi}{\lambda \tanh \lambda} \right) + \ln \cosh \lambda + \lambda \tanh \lambda \ln \left( \frac{\tanh \lambda}{e} \right) - \frac{P \lambda \tanh \lambda}{d} < 0$$

$$\ln \left[ b^\phi \left( \frac{ed\phi}{\lambda \tanh \lambda} \right)^{\frac{\lambda \tanh \lambda}{d}} \right] = \frac{P \lambda \tanh \lambda}{d} + \ln \cosh \lambda + \lambda \tanh \lambda \ln \left( \frac{\tanh \lambda}{e} \right)$$

$$f(\lambda, \phi) < 0, \quad \phi = \frac{1}{1-R}$$

$$b = \sqrt{\frac{e}{2}} \frac{e^{P(e)} - R}{2}$$

Should hold true for  $\phi > \dots$   
 i.e.,  $f(\lambda, \phi)$  should be a decreasing fn of  $\phi$ .

$$f(\lambda, R) = \ln \left[ b^{\frac{1}{1-R}} \left( \frac{ed}{(1-R)\lambda \tanh \lambda} \right)^{\frac{\lambda \tanh \lambda}{d}} \right] - \frac{P \lambda \tanh \lambda}{d} + \ln \cosh \lambda + \lambda \tanh \lambda \ln \left( \frac{\tanh \lambda}{e} \right) < 0$$

$f(\lambda, R) < 0$  for convergence  
 Should be a decreasing fn of  $R$ .

22/2/10

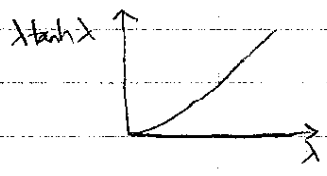
THOUGHTS ON THRESHOLD ANALYSIS :

• For the middle part of the sum,

$$S_n < w < (1-\delta)n$$

$$\Rightarrow \frac{S_n}{n-k} < \frac{w}{n-k} < \frac{(1-\delta)n}{n-k} \triangleq (1-\delta)\phi$$

$\triangleq x \triangleq \frac{\lambda \tanh \lambda}{d}$



As  $n, k \rightarrow \infty$ ,  $\frac{d\delta}{1-R} < \lambda \tanh \lambda < \frac{d(1-\delta)}{1-R}$

$\frac{1}{\phi} > \max \lambda$   
 $\frac{1}{\phi} > \lambda$   
 $\frac{1}{\phi} < \max \lambda$

$G_{k \times n}$   $x \tanh x \leq \frac{y}{10^6}$   $\left[ \begin{matrix} H \\ \vdots \\ \vdots \end{matrix} \right]_{n-k \times n}$   $y = \lambda \tanh \lambda \leq \lambda$

$\mathcal{C}$ :  $k$  dim subspace  
 $R \uparrow \Rightarrow \frac{k}{n} \uparrow \Rightarrow k \uparrow$  for  $n$  fixed

So it is sufficient that the maximization of the threshold over  $\lambda$  be restricted to  $0 < \lambda < d$

- Consider the term

$$T = \underbrace{\left( \sqrt{\frac{e}{2}} \frac{C_p(C) - R}{2} \right)^n}_{T1} \underbrace{\sum_{w=0}^n B_w e^{-Pw}}_{T2}$$

As  $R \uparrow$ , we expect that  $B_w \uparrow$  (since # of codewords increases with  $R$ )

$\left\{ \begin{array}{l} \mathcal{C}: k\text{-dim subspace of } n\text{-dim space} \\ R \uparrow \Rightarrow \frac{k}{n} \uparrow \Rightarrow k \uparrow \text{ for } n\text{-fixed} \end{array} \right\}$

For fixed  $P$ , hence  $T2 \uparrow$  as  $R \uparrow$   
 " " " "  $T1 \downarrow$  as  $R \uparrow$   
 Hence  $T$  might not be monotonic!

- For the BSC case,  $B_w$  was the # of codewords with weight  $w$  in the dual-code. Hence  $B_w \downarrow$  when  $R \uparrow$

- Make a <sup>scatter</sup> plot of  $R$  vs.  $P$ , with a dot indicating that atleast one of the two conditions are satisfied